

Form CRS – Form ADV Part 3
Tailwind Advisors, LLC - Relationship Summary

Tailwind Advisors, LLC (“Tailwind”) is registered with the Securities and Exchange Commission as an investment adviser. Registration does not imply a certain level of skill or training. Brokerage and investment advisory services and fees differ, and it is important for you to understand the difference. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

Relationships and Services:

What investment services and advice can you provide me?

We offer investment advisory services to retail investors. The services we offer may include assistance with developing your investment strategy and/or monitoring your accounts on an ongoing basis. We communicate with you periodically (but no less than annually) through telephone, email, and in person meetings. We offer advisory services for which we exercise investment discretion, i.e. discretionary accounts, as well as services for which we do not exercise investment discretion, i.e. non-discretionary accounts. A discretionary account allows us to buy and sell investments in your account, without asking you in advance. In a non-discretionary account, we give advice and you decide what investments to buy and sell. We do not limit the types of investments available to you. To open an account, the Firm generally requires a minimum investment of \$50,000,000. This can be waived by Tailwind management. For additional information, please refer to our Form ADV Part 2A.

Additional Questions

Ask our financial professionals these key questions about services and accounts:

- Given my financial situation, should I choose an investment advisory service? Why?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Fees, Costs, Conflicts, and Standard of Conduct:

What fees will I pay?

If you enter into an agreement with us, you may be charged based upon a percentage (%) of the market value of the Assets under Management, a performance fee (not by Tailwind itself but by certain Tailwind affiliates and third-party sub-advisors), or based on another fee arrangement as defined in your offering documentation. The fees you will be charged are assessed quarterly in advance based upon the market value of the Assets on the last business day of the previous quarter. Accordingly, the more assets that are in your account, the more you will pay in fees. This may create an incentive for us to encourage you to increase the assets in your account.

You will pay transaction-based fees when the Firm buys and sells an investment for you in addition to the principal fees that we charge you for our advisory accounts. Such transaction-based fees may include commissions paid to broker-dealers for buying or selling investments. You may pay fees to a broker-dealer or bank that holds your assets (called “custody”). You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

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Additional Questions to Ask

Ask our financial professionals these key questions about fees and costs:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money, and what conflicts of interest do you have?

When we act as your investment adviser, we must act in your best interest, ahead of our own interest. At the same time, the way we make money creates conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Below are a couple examples:

- **Personal Trading:** We may employ the same strategy for our personal investment accounts as we do for your investment advisory accounts. However, we are generally prohibited from buying or selling securities in our personal accounts where this decision is substantially derived, in whole or in part, from our role as your investment advisor, unless the information is also available to the investing public on reasonable inquiry.
- **Affiliates:** Tailwind has a number of affiliates that it or its beneficial owners may have a stake in. This may create an incentive for us to encourage you to invest money in Tailwind's proprietary offerings or its affiliates. Please see our Part 2A Brochure on [adviserinfo.sec.gov](https://www.adviserinfo.sec.gov) for more details.

Additional Questions to Ask

Ask our financial professionals these key questions about conflicts of interest:

- How might your conflicts of interest affect me, and how will you address them?

How do our financial professionals make money?

Our financial professionals are compensated in the following ways: Compensation is in the form of an annual salary, plus incentive compensation in the form of bonuses and carried interests in Tailwind affiliates.

Disciplinary History

Do we or our financial professionals have legal or disciplinary history?

No. Please visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

Additional Questions to Ask

Ask our financial professionals these key questions about disciplinary history:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Additional Information

We encourage you to seek out additional information about our investment advisory services and to request a copy of this relationship summary by contacting our Chief Compliance Officer:

Shawn Hessing

(817) 708-3675

shawn@tailwindadvisors.com

For more information on our investment advisory services, see our Form ADV Part 1A and Part 2A Brochure on [adviserinfo.sec.gov](https://www.adviserinfo.sec.gov) or [Investor.gov](https://investor.gov) and any brochure supplement a financial professional provides.

Additional Questions to Ask

Ask our financial professionals these key questions about contacts and complaints:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?